

# UNITED STATES PATENT AND TRADEMARK OFFICE

en

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/633,846	08/04/2003	Jahangir S. Rastegar	10016	5665
	7590 09/19/2007 Thomas Spinelli		EXAMINER	
2 Sipala Court			WANG, TED M	
East Northport, NY 11731			ART UNIT	PAPER NUMBER
			2611	
			MAIL DATE	DELIVERY MODE
			09/19/2007	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)				
	10/633,846	RASTEGAR ET AL.				
Office Action Summary	Examiner	Art Unit				
	Ted M. Wang	2611				
The MAILING DATE of this communication appeared for Reply	pears on the cover sheet with the c	correspondence address				
A SHORTENED STATUTORY PERIOD FOR REPL WHICHEVER IS LONGER, FROM THE MAILING D  - Extensions of time may be available under the provisions of 37 CFR 1.7 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period  - Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailine earned patent term adjustment. See 37 CFR 1.704(b).	PATE OF THIS COMMUNICATION 136(a). In no event, however, may a reply be tin will apply and will expire SIX (6) MONTHS from e, cause the application to become ABANDONE	N. nely filed the mailing date of this communication. D (35 U.S.C. § 133).				
Status						
1) Responsive to communication(s) filed on 29 J	<u>'une 2007</u> .					
2a)⊠ This action is <b>FINAL</b> . 2b)☐ This	This action is <b>FINAL</b> . 2b) ☐ This action is non-final.					
3) Since this application is in condition for allowa	☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is					
closed in accordance with the practice under I	Ex parte Quayle, 1935 C.D. 11, 4	53 O.G. 213.				
Disposition of Claims						
4) ⊠ Claim(s) 1-11 is/are pending in the application 4a) Of the above claim(s) is/are withdra 5) □ Claim(s) is/are allowed. 6) ⊠ Claim(s) 1-11 is/are rejected. 7) □ Claim(s) is/are objected to. 8) □ Claim(s) are subject to restriction and/or	wn from consideration.					
Application Papers						
9) The specification is objected to by the Examine 10) The drawing(s) filed on is/are: a) accomposite and accomposite and applicant may not request that any objection to the Replacement drawing sheet(s) including the correct and the	cepted or b) objected to by the drawing(s) be held in abeyance. Section is required if the drawing(s) is ob	e 37 CFR 1.85(a). jected to. See 37 CFR 1.121(d).				
Priority under 35 U.S.C. § 119						
12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of:  1. Certified copies of the priority document 2. Certified copies of the priority document 3. Copies of the certified copies of the priority application from the International Burea * See the attached detailed Office action for a list	ts have been received. ts have been received in Applicati prity documents have been receive u (PCT Rule 17.2(a)).	on No ed in this National Stage				
Address and a large of the larg						
Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO/SB/08)  Paper No(s)/Mail Date	4) Interview Summary Paper No(s)/Mail Da 5) Notice of Informal P 6) Other:	ate				

### **DETAILED ACTION**

# Response to Arguments

1. Applicant's arguments, filed on 06/29/2007, have been fully considered but they are not persuasive. The Examiner has thoroughly reviewed Applicants' arguments but firmly believes that the cited reference to reasonably and properly meet the claimed limitations.

# Claims 1-9

Applicants' argument – "(a) In contrast, the claimed invention transmits the actual data, but the data is transmitted in bits and pieces at times determined by a pseudo random number generator, which the receiver that has the code (called the seed) can figure out the time sequence and use only the signal bits and pieces (pulse like) that are received at those times to reconstruct the data sequence. As discussed in the specification, this is good for hiding the signal in the environmental noise, thereby it would be also good for preventing anyone from finding the transmitter (in the field, for example).

- (b) The prior art cited by the Examiner discloses a method that generates the random noise and the pseudo noise (PN) code sequence is used to clean, which means that it would be very easy to find the transmitter since it is sending a continuous signal. In addition, in the claimed invention, since only randomly distributed pulses are sent, it is very difficult for anyone to zero in on and locate the transmitter since it is hard to tune to a randomly timed sequence of pulses.
- (c) With regard to the rejection of claims 1-9 under 35 U.S.C. § 102(b), a method, transmitter, receiver and system for low-delectability communication having the

features discussed above and as recited in independent claims 1 and 7-9, is nowhere disclosed in Schuermann." as recited in page 8, line 5 – page 9, line 8 of the remark, dated 06/29/2007.

### Examiner's response -

In response to applicant's arguments (a) and (b) that the references fail to show certain features of applicant's invention, it is noted that the features upon which applicant relies (i.e. (a) - page 8, lines 9-15 of the remark, (b) - page 8, lines 18-21 as recited in the above paragraph) are not recited in the rejected claim(s). Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993).

In response to applicant's argument (c), Examiner considers that any system having data being spreaded by a PN spreading code and later, modulated with BPSK or FSK scheme, then transmitted via air is a low-delectability communication between transmitter and receiver since the received signal at receiving side will be the transmitted signal plus white noise (transmitted via air). Schuermann discloses such a system (refers to Fig.1 -3).

Thus, for the explanation addressed in the above paragraph, the rejection under 35 U.S.C. 102(e) with Schuermann's reference is adequate.

#### Claims 10-11

Applicants' argument – "Independent claims 10 and 11 are not rendered obvious by the cited references because neither the Schuermann patent nor the Poon patent,

Application/Control Number: 10/633,846 Page 4

Art Unit: 2611

whether taken alone or in combination, teach or suggest a program storage device or computer program product having the features discussed above and recited in independent claims 10 and 11." as recited in page 9, lines 11-14, of the remark, dated 06/29/2007.

Examiner's response – The argument is the same as that of claims 1-9. The Examiner's response has been addressed in the above paragraph.

# Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 3. Claims 1-9 are rejected under 35 U.S.C. 102(b) as being anticipated by Schuermann et al. (US 6,198,764).
  - With regard claim 1, Schuermann et al. discloses a method for the transfer of
     a digital data signal from a transmitter to a receiver comprising:
    - (a) transmitting first data (Fig.1 element 10, data source) from the transmitter according to at least one of a first timing (Fig.1 element 12, PN generator output, B PN-code sequence (Fig.2 element B), and column 3 lines 1-6), modulation, and frequency;

Application/Control Number: 10/633,846 Page 5

Art Unit: 2611

(b) appending the first data (Fig.1 element C, spreaded data according to the PN code sequence), prior to transmission (Fig.1 element 24), with information (Fig.1 element 18, FSK modulator output) regarding at least one of a second timing, modulation (Fig.1 element 18, FSK modulator), and frequency for a subsequent transmission (column 2 lines 31-48) and column 3 lines 11-16); and

- (c) transmitting second data from the transmitter (Fig.1) according to the information (column 2 lines 22-48, where the BPSK modulation output, D, is transmitted according to the information from FSK output)
- □ With regard claim 2, Schuermann et al. further discloses wherein the information comprises a change in at least one of the first timing, modulation, and frequency (Fig.1 elements 12, 16 and 18, column 3 lines 11-16, Fig.2 element D, RF signal, f₁, f₂ and f₁).
- With regard claim 3, Schuermann et al. further discloses wherein the change comprises a random generation (Fig.1 element 12) of the at least one of the first timing (Fig.1 elements 12, 16 and 18, Fig.2 elements A-D, and column 3 lines 11-30), modulation, and frequency.
- With regard claim 4, Schuermann et al. further discloses wherein the information comprises a deviation in at least one of the first timing, modulation, and frequency (Fig.1 elements 12, 16 and 18, Fig.2 elements A-D, and column 3 lines 11-30, where as PN sequence changed the synchronization information at output of FSK is changed).
- □ With regard claim 5, Schuermann et al. further discloses wherein the

Application/Control Number: 10/633,846

Art Unit: 2611

information comprises at least one of the second timing, modulation, and frequency (Fig.1 elements 12, 16 and 18, and column 2 lines 31-35 and column 3 lines 11-30, where the appended information to the first data is the synchronization information generated by elements 12).

- With regard claim 6, Schuermann et al. further discloses repeating steps (b) and (c) for subsequent data sets. (Fig.1 elements 12, 16 and 18, column 3 lines 11-30, and Fig.1 and Fig.2 elements A-D, since the PN code is a continuous sequence, the second data and subsequent data set along with the new generated synchronization information is transmitted to the receiver.)
- With regard claim 7, which is a mean plus function claim related to claim 1, all limitation is contained in claim 1. The explanation of all the limitation is already addressed in the above paragraph.
- With regard claim 8, Schuermann et al. discloses a receiver comprising:

means for receiving first data from the transmitter at least one of a first timing, modulation (Fig.3 and column 3 lines 45-55), and frequency, the first data containing information regarding at least one of a second timing, modulation, and frequency for a subsequent transmission (Fig.2 &3 element E and column 3 line 56);

means for reading the information in the first data (column 4 lines 1-7, where the information is the synchronization information, PN-code sequence); and

means for receiving the second data from the transmitter according to the information (Fig.2 and 3 and column 3 lines 45-55, since the PN code is a Application/Control Number: 10/633,846 Page 7

Art Unit: 2611

continuous sequence, the second data along with the new generated synchronization information is transmitted and been received by the receiver.)

□ With regard claim 9, which is a system mean plus function claim related to claim 7, means plus function of a transmitter, and claim 8, means plus function of a receiver, all limitation is contained in claim s 7 and 8. The explanation of all the limitation is already addressed in the above paragraph.

### Claim Rejections - 35 USC § 103

- 4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 5. Claims 10 and 11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Schuermann et al. (US 6,198,764) in view of Poon et al. (US 6,192,070).
  - □ With regard claims 10 and 11, Schuermann et al. discloses all of the subject matter as described above except for the method written by a software program embodied in a computer-readable medium.

However, Poon et al. teaches that the method and apparatus for a universal modem with different modulation/demodulation type information can be implemented in software stored in a computer-readable medium (column 4 lines 15-39). The computer-readable medium is an electronic, magnetic, optical, or other physical device or means that can be contain or

Art Unit: 2611

store a computer program for use by or in connection with a computerrelated system or method. One skilled in the art would have clearly
recognized that the method of "Schuermann et al." would have been
implemented in a software. The implemented software would perform same
function of the hardware for less expense, adaptability, and flexibility.

Therefore, it would have been obvious to have used the software in "(column
4 lines 15-39)" as taught by Poon et al. in order to reduce cost and improve
the adaptability and flexibility of the communication system.

#### Conclusion

- 6. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).
- 7. A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.
- 8. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Ted M. Wang whose telephone number is 571-272-3053. The examiner can normally be reached on M-F, 7:30 AM to 5:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Chieh Fan can be reached on 571-272-3042. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Ted M. Wang

Ted M Wang Examiner Art Unit 2611